

**Mandai Nature Grant Applicant (Individual)**  
**Due Diligence Self-Assessment Form**

Version 1.0

Thank you for your interest in Mandai Nature's grant programmes. Please complete all questions in this due diligence self-assessment form (the "Form") and provide the associated copies of documents requested. This Form is an integral part of your grant application. All information submitted will be treated as confidential information and will not be disclosed to any third parties unless required by law. Please let us know if you face difficulties filling this Form.

In this Form, words and expressions shall have the same meanings as respectively assigned to them in the Grant Application Form and the Conditions for Provision of Funding, except where the context otherwise requires or as amended, substituted or added by this Form.

<b>SECTION A: PERSONAL PARTICULARS</b>	
<b>Project title</b>	
<b>Name of applicant</b> (Full name per Identity card or passport)	
<b>Identity card or passport number</b> (Last 4 characters including the alphabet)	
<b>Nationality</b> (please indicate all nationalities)	
<b>Date of birth</b> (DD/MM/YYYY)	
<b>Residential address</b>	
<b>Contact number</b>	
<b>Email address</b>	
<b>Occupation / Designation</b>	
<b>Employer Name/Education Institution Name</b> (Full name of your employment organisation or education institution [if you are a student])	
<b>Principal Activity of Employer (NA if you are a student)</b>	
<b>Length of Service/Academic Programme</b> (Start MM/YY) & (End MM/YY) - if applicable	
<b>Place of Work</b> (i.e. Country, State, Province)	

**1. Is there any litigation pending for/against you?**

Yes

No

*If Yes, please provide details for the following on a separate page:*

- i. Name of claimant/defendant*
- ii. Relation of claimant/defendant*
- iii. Claimed damages*
- iv. Brief history of legal claim*
- v. Current status of claim*

**2. Have you been involved / are currently involved in:**

- |   |                              |                             |
|---|------------------------------|-----------------------------|
| <b>(i) Any illegal activities:</b>                              | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| <b>(ii) Child labour:</b>                                       | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| <b>(iii) Blacklisted under the UN Terrorism listing:</b>        | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| <b>(iv) Corruption, Trafficking &amp; other serious crimes:</b> | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

*If any of the above in Question 2 is "Yes", please elaborate:*

**3. Please list the grant amount, grant name and grant organisation contact information (email address and contact person) for the 3 most recent grants received for your work/project.**

*(Please indicate NIL if not applicable)*

**4. Please list any significant contract or MoU that you currently have with other organisations.**

*(Please indicate NIL if not applicable).*

**5. Have you ever been in default regarding grant management, or had a grant terminated by a grantor for any reason?**

Yes  No

*If Yes, please provide details below:*

**6. Have you ever filed for bankruptcy, relief from creditors, or declared any other form of financial insolvency?**

Yes  No

*If Yes, please provide details below:*

**7. Please provide a copy of your bank statement (dated current month i.e. same month as the date of this Form) showing ONLY the (i) Bank Name (ii) Account Name and (iii) Account Number which correspond with details provided in the grant application form. Please cover all other details in the statement copy.**

## SECTION B: POLITICALLY EXPOSED PERSON

1	<p><b>Are you a current or former Politically Exposed Person (PEP)?</b></p> <p>PEP means you are currently or was formerly entrusted with a prominent public function in any country.</p> <p>This includes currently serving as or was formerly: - a head of state, - a head of government, - a government minister - a senior civil or public servant - a senior judicial or military official, - a senior executive of state-owned corporations, - a senior political party official - a member of the legislature, or - a senior management of an "International Organisation". This includes directors, deputy directors and members of the board or equivalent functions of entities established by formal political agreements between member countries that have the status of international treaties and whose existence are recognised by law in member countries. Examples of such entities include the United Nations and affiliated agencies such as the International Maritime Organisation and the International Monetary Fund; regional international organisations such as the Asian Development Bank, Association of Southeast Asian Nations Secretariat, institutions of the European Union, the Organisation for Security and Cooperation in Europe; military international organisations such as the North Atlantic Treaty Organisation; and economic organisations such as the World Trade Organisation or the Asia Pacific Economic Cooperation Secretariat.</p>	<p><b>Yes/ No</b></p> <p><b>If Yes, you are a:</b></p> <p><input type="checkbox"/> Singapore PEP</p> <p><input type="checkbox"/> Foreign PEP</p> <p><input type="checkbox"/> International Organisation PEP</p> <p><b>Name (and description of responsibilities if not self-explanatory from the name) of the prominent public function that the PEP is currently or formerly entrusted with<sup>(Note1)</sup>:</b></p> <p><b>Country/International organisation<sup>1</sup>:</b></p> <p><b>Period of service (start to end date):</b></p>
2	<p><b>Are you a "family member" of a current or former PEP?</b></p> <p>"Family member" means a parent, step-parent, child, step-child, adopted child, spouse, sibling, step-sibling and adopted sibling.</p>	<p><b>Yes/ No</b></p> <p><b>If Yes, you are a</b></p> <p><input type="checkbox"/> Parent / Step-parent</p> <p><input type="checkbox"/> Spouse</p> <p><input type="checkbox"/> Child / Adopted child / Step-child</p> <p><input type="checkbox"/> Sibling / Adopted sibling / Step-sibling</p>

<p>3</p>	<p><b>Are you a “close associate” of a current or former PEP?</b></p> <p>“Close associate” means a person who is closely connected to a PEP, either socially or professionally. Examples include partners outside the family unit (e.g. girlfriends, boyfriends, partners); prominent members of the same political party, civil organisation, labour union as the PEP; business partners or associates, especially those that share ownership of legal entities with the PEP, or who are otherwise connected (e.g. through joint membership of a company board).</p>	<p><b>Yes / No</b></p> <p><b>If Yes, please describe relationship with the PEP:</b></p>
<p>(Note 1): International organisation means an entity established by formal political agreements between member countries that has the status of international treaties and whose existence is recognised by law in member countries. Examples of such entities include the United Nations and affiliated agencies such as the International Maritime Organisation and the International Monetary Fund; regional international organisations such as the Asian Development Bank, Association of Southeast Asian Nations Secretariat, institutions of the European Union, the Organisation for Security and Cooperation in Europe; military international organisations such as the North Atlantic Treaty Organisation; and economic organisations such as the World Trade Organisation or the Asia- Pacific Economic Cooperation Secretariat.</p>		

**Checklist - Additional Documentation Requested**

To assist in ensuring your due diligence submission is complete, the following is a checklist of additional documents that you may be required to provide. Please indicate which of these documents are relevant to you and that you will be submitting to accompany your completed Mandai Nature Grant Applicant (Individual) Due Diligence Self-Assessment Form.

1. Copy of the NRIC/Passport
2. Details of ongoing litigation  *If "Yes" for Section A: Q1*
3. Copy of bank statement (dated current month)

**CERTIFICATION STATEMENT** (by Individual Applicant)

I, \_\_\_\_\_ (*Applicant Name*) the undersigned, hereby certify that all facts and information provided in this due diligence assessment document are true, accurate and correct without omission, error, or mis-statement.

I understand and agree that should the information provided in this due diligence assessment document subsequently change, I shall inform in writing of any such change without delay.

I further understand and accept that Mandai Nature may at its sole discretion amend or terminate any grant or funding agreement awarded if any information contained in this document is false or inaccurate.

**SIGNED BY**

\_\_\_\_\_  
Applicant Name:

Identification No.:  
(*Last 4 characters including the alphabet*)

Date: